

# TRANSMITTAL FORM

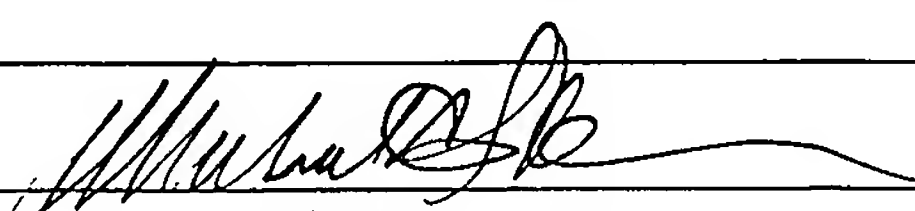
(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/561,912 – Conf. No. 1376	
	Filing Date	December 21, 2005	
	First Named Inventor	Graham EASTHAM et al.	
	Group Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	17	Attorney Docket Number	31229-226445

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Preliminary Amendment  <input type="checkbox"/> After Final  <input checked="" type="checkbox"/> English-Language Declaration (2 pp.)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement; Form PTO/SB/08A  <input type="checkbox"/> Claim for Priority; Certified Copy of Priority Document  <input type="checkbox"/> Preliminary Amendment  <input checked="" type="checkbox"/> Copy of Notification of Missing Requirements	<input checked="" type="checkbox"/> Assignment & Recordation Sheet (6 pages)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input checked="" type="checkbox"/> Power of Attorney and Correspondence Address Indication Form (3 pp.)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  . Response to Notification of Missing Requirements
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael A. Sartori, Ph.D. Reg. No. 41,289	26694 U.S. PATENT TRADEMARK OFFICE
Signature		
Date	March 23, 2007	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date:			
Typed or printed name			
Signature		Date	



<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b>		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	10/561,912 – Conf. No. 1376
		Filing Date	December 21, 2005
		First Named Inventor	Graham EASTHAM et al.
		Examiner Name	To Be Assigned
		Art Unit	To Be Assigned
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	31229-226445
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b> \$ 170.00	

**METHOD OF PAYMENT** (check all that apply)

☐ Check   ☐ Credit Card   ☐ Money Order   ☐ None   ☐ Other (please identify) \_\_\_\_\_

☒ Deposit Account   Deposit Account Number: 22-0261   Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below   ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17   ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	03/27/2007 LLANDGRA 00000031 220261	10561912
Each independent claim over 3 (including Reissues)	01 FC:1617	130.00 DA
Multiple dependent claims		

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 =	0	x 0	= 0

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 =	0	x 0	= 0

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =		/50 (round up to a whole number) x	0	= 0

**4. OTHER FEE(S)**

	Fees Paid (\$)
Other (e.g., late filing surcharge):	0
• Surcharge for late filing of the Declaration	\$ 130.00
• Assignment Recordation Fee	\$ 40.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	41,289
Name (Print/Type)	Michael A. Sartori, Ph.D.	Telephone	(202) 344-4000
		Date	March 23, 2007



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Graham EASTHAM *et al.*

Appln. No. 10/561,912

Confirmation No. 1376

Filed: December 21, 2005

For: PROCESS FOR THE HYDROFORMYLATION  
OF ETHYLENICALLY UNSATURATED  
COMPOUNDS

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 31229-226445

Customer No.

**26694**

PATENT TRADEMARK OFFICE

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Attention: MAIL STOP - MISSING REQUIREMENTS**

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated January 25, 2007, submitted herewith are the following:

1. Transmittal;
2. Fee Transmittal;
3. English-Language Declaration (2 pages);
4. Power of Attorney and Correspondence Address Indication Form (3 pages);
5. Assignment and Recordation Form (6 pages total);
6. A Copy of the Notification of Missing Requirements dated January 25, 2007;

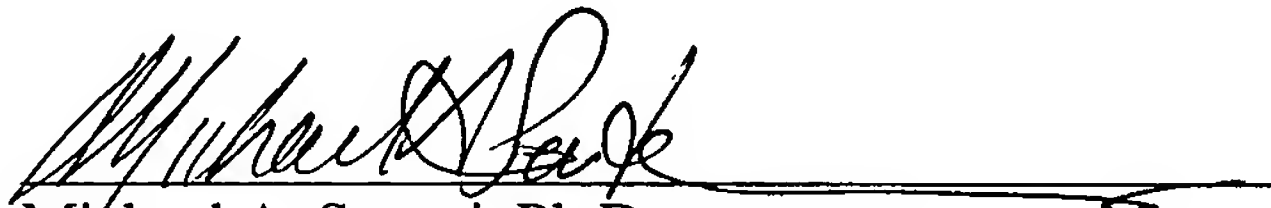
Application No. 10/561,912  
Applicants: Graham EASTHAM et al.

8. The \$130 surcharge for the late filing of the Declaration; and
9. The \$40.00 recordation fee for the Assignment.

Please charge the fees, totaling \$170.00, to our Deposit Account No. 22-0261.

Respectfully submitted,

Date: March 23, 2007



Michael A. Sartori, Ph.D.

Registration No. 41,289

VENABLE LLP

P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000

Telefax : (202) 344-8300

MAS/SJB  
#824052

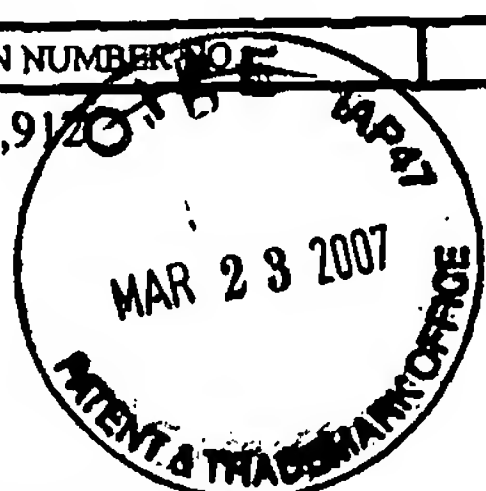


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/561,912	Graham Eastham	31229-226445

26694  
VENABLE LLP  
P.O. BOX 34385  
WASHINGTON, DC 20043-9998



INTERNATIONAL APPLICATION NO.	
PCT/GB04/02859	
I.A. FILING DATE	PRIORITY DATE
07/01/2004	07/03/2003

CONFIRMATION NO. 1376

371 FORMALITIES LETTER



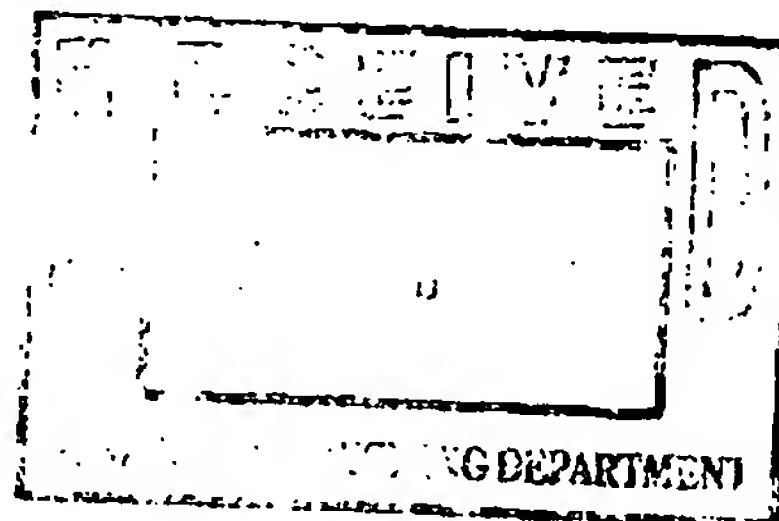
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Date Mailed: 01/25/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/21/2005
- Copy of the International Search Report filed on 12/21/2005
- Copy of IPE Report filed on 12/21/2005
- Preliminary Amendments filed on 12/21/2005
- Information Disclosure Statements filed on 12/21/2005
- Request for Immediate Examination filed on 12/21/2005
- U.S. Basic National Fees filed on 12/21/2005
- Priority Documents filed on 12/21/2005
- Specification filed on 12/21/2005
- Claims filed on 12/21/2005
- Abstracts filed on 12/21/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/561,912	PCT/GB04/02859	31229-226445